

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Thundat et al.

DOCKET NO.: 0830.0

SERIAL NO.:

ART UNIT:

FILED:

EXAMINER:

TITLE: Multi-Purpose Multi-Function Surface-Tension Microfluidic Manipulator

INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

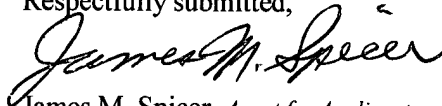
Submitted herewith on Forms PTO/SB/08A and PTO/SB/08B is a listing of documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. 1.97 and 1.98.

Applicant presents these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicant respectfully requests that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also requests that an initialed copy of Forms PTO/SB/08A and PTO/SB/08B be returned in accordance with MPEP Section 609.

Respectfully submitted,



James M. Spicer, *Agent for Applicants*

Reg. No. 26,096

UT-Battelle, LLC

P.O. Box 2008 MS 6498

Oak Ridge, TN 37831

(865) 574-4180

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Thundat
Art Unit	
Examiner Name	
Attorney Docket Number	0830.0

Sheet	1	of	2
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid and correct numbering and collection of information statement.</p> <p>Substitute for form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Thundat
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	0830.0

[illegible]

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language publication is submitted. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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